# CERTIFICATION OF ENROLLMENT

### FOURTH SUBSTITUTE HOUSE BILL 1103

Chapter 134, Laws of 2008

(partial veto)

60th Legislature 2008 Regular Session

### HEALTH PROFESSIONS

EFFECTIVE DATE: 06/12/08 - Except section 18, which becomes effective 07/01/08.

Passed by the House March 8, 2008 Yeas 93 Nays 0

### FRANK CHOPP

### Speaker of the House of Representatives

Passed by the Senate March 6, 2008 Yeas 48 Nays 1 CERTIFICATE

I, Barbara Baker, Chief Clerk of the House of Representatives of the State of Washington, do hereby certify that the attached is FOURTH SUBSTITUTE HOUSE BILL 1103 as passed by the House of Representatives and the Senate on the dates hereon set forth.

## BARBARA BAKER

BRAD OWEN

Chief Clerk

### President of the Senate

Approved March 25, 2008, 1:10 p.m., with the exception of section 40 which is vetoed.

FILED

March 25, 2008

CHRISTINE GREGOIRE

Secretary of State State of Washington

Governor of the State of Washington

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### FOURTH SUBSTITUTE HOUSE BILL 1103

### AS AMENDED BY THE SENATE

Passed Legislature - 2008 Regular Session

State of Washington 60th Legislature 2008 Regular Session

By House Appropriations (originally sponsored by Representatives Campbell, Green, Kenney, Hudgins, Appleton, Schual-Berke, and Cody)
READ FIRST TIME 02/12/08.

AN ACT Relating to health professions; amending RCW 18.130.020, 1 2 18.130.050, 18.130.060, 18.130.080, 18.130.095, 18.130.170, 18.130.310, 70.41.210, 43.70.320, 18.130.140, 18.130.150, 18.130.165, 18.130.172, 3 18.130.180, 9.96A.020, 9.95.240, 43.43.825, 18.71.0191, and 18.79.130; 4 reenacting and amending RCW 18.130.160, 18.130.040, and 18.130.040; 5 adding new sections to chapter 18.130 RCW; adding a new section to 6 7 chapter 42.52 RCW; adding a new section to chapter 18.71 RCW; adding a 8 new section to chapter 18.79 RCW; adding a new section to chapter 18.25 9 RCW; adding a new section to chapter 18.32 RCW; creating new sections; 10 prescribing penalties; providing effective dates; providing expiration 11 dates; and declaring an emergency.

## 12 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

13 SECTION. statehood, NEW Sec. 1. From Washington has constitutionally provided for the regulation of the practice of 14 15 medicine and the sale of drugs and medicines. This constitutional 16 recognition of the importance of regulating health care practitioners derives not from providers' financial interest in their license, but 17 from the greater need to protect the public health and safety by 18

assuring that the health care providers and medicines that society relies upon meet certain standards of quality.

The legislature finds that the issuance of a license to practice as 3 a health care provider should be a means to promote quality and not be 4 5 a means to provide financial benefit for providers. Statutory and administrative requirements provide sufficient due process protections 6 7 to prevent the unwarranted revocation of a health care provider's license. While those due process protections must be maintained, there 8 is an urgent need to return to the original constitutional mandate that 9 patients be ensured quality from their health care providers. 10 legislature has recognized and medical malpractice reforms have 11 12 recognized the importance of quality and patient safety through such 13 measures as a new adverse events reporting system. Reforms to the 14 health care provider licensing system is another step toward improving quality in health care. Therefore, the legislature intends to increase 15 16 the authority of those engaged in the regulation of health care 17 providers to swiftly identify and remove health care providers who pose 18 a risk to the public.

- 19 **Sec. 2.** RCW 18.130.020 and 1995 c 336 s 1 are each amended to read 20 as follows:
- ((<del>Unless the context clearly requires otherwise,</del>)) <u>The definitions</u>
  in this section apply throughout this chapter <u>unless the context</u>
  clearly requires otherwise.
  - (1) "Disciplining authority" means the agency, board, or commission having the authority to take disciplinary action against a holder of, or applicant for, a professional or business license upon a finding of a violation of this chapter or a chapter specified under RCW 18.130.040.
  - (2) "Department" means the department of health.
- 30 (3) "Secretary" means the secretary of health or the secretary's designee.
- 32 (4) "Board" means any of those boards specified in RCW 18.130.040.
- 33 (5) "Clinical expertise" means the proficiency or judgment that a 34 license holder in a particular profession acquires through clinical 35 experience or clinical practice and that is not possessed by a lay 36 person.

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- (6) "Commission" means any of the commissions specified in RCW 1 2 18.130.040.
  - $((\frac{6}{1}))$  (7) "Unlicensed practice" means:

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- (a) Practicing a profession or operating a business identified in 4 5 RCW 18.130.040 without holding a valid, unexpired, unrevoked, and unsuspended license to do so; or 6
- 7 (b) Representing to a consumer, through offerings, advertisements, or use of a professional title or designation, that the individual is qualified to practice a profession or operate a business identified in RCW 18.130.040, without holding a valid, unexpired, unrevoked, and unsuspended license to do so.
- 12  $((\frac{7}{1}))$  (8) "Disciplinary action" means sanctions identified in RCW 13 18.130.160.
- 14  $((\frac{8}{1}))$  (9) "Practice review" means an investigative audit of records related to the complaint, without prior identification of 15 16 specific patient or consumer names, or an assessment of the conditions, 17 circumstances, and methods of the professional's practice related to the complaint, to determine whether unprofessional conduct may have 18 been committed. 19
- 20  $((\frac{9}{1}))$  (10) "Health agency" means city and county health 21 departments and the department of health.
- 22  $((\frac{10}{10}))$  (11) "License," "licensing," and "licensure" shall be deemed equivalent to the terms "license," "licensing," "licensure," 23 24 "certificate," "certification," and "registration" as those terms are defined in RCW 18.120.020. 25
- (12) "Standards of practice" means the care, skill, and learning 26 27 associated with the practice of a profession.
- Sec. 3. RCW 18.130.050 and 2006 c 99 s 4 are each amended to read 28 29 as follows:
- 30 Except as provided in section 5 of this act, the disciplining 31 authority has the following authority:
- (1) To adopt, amend, and rescind such rules as are deemed necessary 32 to carry out this chapter; 33
- 34 (2) To investigate all complaints or reports of unprofessional conduct as defined in this chapter ((and)); 35
- 36 (3) To hold hearings as provided in this chapter;

- - ((4))) (5) To take or cause depositions to be taken and use other discovery procedures as needed in any investigation, hearing, or proceeding held under this chapter;
    - (((5))) (6) To compel attendance of witnesses at hearings;
  - ((<del>(6)</del>)) <u>(7)</u> In the course of investigating a complaint or report of unprofessional conduct, to conduct practice reviews <u>and to issue citations and assess fines for failure to produce documents, records, or other items in accordance with section 20 of this act;</u>
  - $((\frac{7}{1}))$  <u>(8)</u> To take emergency action ordering summary suspension of a license, or restriction or limitation of the license holder's practice pending proceedings by the disciplining authority. fourteen days of a request by the affected license holder, the disciplining authority must provide a show cause hearing in accordance with the requirements of section 6 of this act. Consistent with RCW 18.130.370, a disciplining authority shall issue a summary suspension of the license or temporary practice permit of a license holder prohibited from practicing a health care profession in another state, federal, or foreign jurisdiction because of an act of unprofessional conduct that is substantially equivalent to an act of unprofessional conduct prohibited by this chapter or any of the chapters specified in RCW 18.130.040. The summary suspension remains in effect until proceedings by the Washington disciplining authority have been completed;
  - ((\(\frac{(\(\frac{8}{}\)\)}{1}\)) (9) To conduct show cause hearings in accordance with section 5 or 6 of this act to review an action taken by the disciplining authority to suspend a license or restrict or limit a license holder's practice pending proceedings by the disciplining authority;
  - (10) To use a presiding officer as authorized in RCW 18.130.095(3) or the office of administrative hearings as authorized in chapter 34.12 RCW to conduct hearings. The disciplining authority shall make the final decision regarding disposition of the license unless the disciplining authority elects to delegate in writing the final decision to the presiding officer. Disciplining authorities identified in RCW 18.130.040(2)(b) may not delegate the final decision regarding

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disposition of the license or imposition of sanctions to a presiding 1 2 officer in any case pertaining to standards of practice or where clinical expertise is necessary; 3

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- $((\frac{(9)}{1}))$  (11) To use individual members of the boards to direct investigations and to authorize the issuance of a citation under subsection (7) of this section. However, the member of the board shall not subsequently participate in the hearing of the case;
- (((10))) (12) To enter into contracts for professional services determined to be necessary for adequate enforcement of this chapter;
- $((\frac{11}{11}))$  (13) To contract with  $((\frac{1icensees}{1}))$  license holders or other persons or organizations to provide services necessary for the monitoring and supervision of ((licensees)) license holders who are placed on probation, whose professional activities are restricted, or who are for any authorized purpose subject to monitoring by the disciplining authority;
- 16  $((\frac{12}{12}))$  (14) To adopt standards of professional conduct or 17 practice;
  - (((13))) (15) To grant or deny license applications, and in the event of a finding of unprofessional conduct by an applicant or license holder, to impose any sanction against a license applicant or license holder provided by this chapter. After January 1, 2009, all sanctions must be issued in accordance with section 12 of this act;
  - ((<del>(14)</del>)) (16) To restrict or place conditions on the practice of new licensees in order to protect the public and promote the safety of and confidence in the health care system;
  - (17) To designate individuals authorized to sign subpoenas and statements of charges;
  - $((\frac{15}{15}))$  (18) To establish panels consisting of three or more members of the board to perform any duty or authority within the board's jurisdiction under this chapter;
- $((\frac{16}{16}))$  (19) To review and audit the records of licensed health 31 32 facilities' or services' quality assurance committee decisions in which a ((licensee's)) license holder's practice privilege or employment is 33 terminated or restricted. Each health facility or service shall 34 35 produce and make accessible to the disciplining authority the appropriate records and otherwise facilitate the review and audit. 36 Information so gained shall not be subject to discovery or introduction
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- 38 into evidence in any civil action pursuant to RCW 70.41.200(3).

Sec. 4. RCW 18.130.060 and 2006 c 99 s 1 are each amended to read as follows:

In addition to the authority specified in RCW 18.130.050 <u>and</u> <u>section 5 of this act</u>, the secretary has the following additional authority:

- (1) To employ such investigative, administrative, and clerical staff as necessary for the enforcement of this chapter. The secretary must, whenever practical, make primary assignments on a long-term basis to foster the development and maintenance of staff expertise. To ensure continuity and best practices, the secretary will regularly evaluate staff assignments and workload distribution;
- 12 (2) Upon the request of a board or commission, to appoint pro tem 13 members to participate as members of a panel of the board or commission 14 in connection with proceedings specifically identified in the request. Individuals so appointed must meet the same minimum qualifications as 15 regular members of the board or commission. Pro tem members appointed 16 17 for matters under this chapter are appointed for a term of no more than one year. No pro tem member may serve more than four one-year terms. 18 While serving as board or commission members pro tem, persons so 19 appointed have all the powers, duties, and immunities, and are entitled 20 21 to the emoluments, including travel expenses in accordance with RCW 22 43.03.050 and 43.03.060, of regular members of the board or commission. The chairperson of a panel shall be a regular member of the board or 23 24 <u>commission</u> appointed by the board <u>or commission</u> chairperson. 25 have authority to act as directed by the board or commission with respect to all matters ((concerning the review, investigation, and 26 27 adjudication of all complaints, allegations, charges, and matters)) subject to the jurisdiction of the board or commission and within the 28 authority of the board or commission. The authority to act through 29 panels does not restrict the authority of the board or commission to 30 31 act as a single body at any phase of proceedings within the board's or 32 commission's jurisdiction. Board or commission panels may ((make interim orders and)) issue final orders and decisions with respect to 33 matters and cases delegated to the panel by the board or commission. 34 35 Final decisions may be appealed as provided in chapter 34.05 RCW, the administrative procedure act; 36
  - (3) To establish fees to be paid for witnesses, expert witnesses,

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- and consultants used in any investigation and to establish fees to witnesses in any agency adjudicative proceeding as authorized by RCW 34.05.446;
- 4 (4) To conduct investigations and practice reviews at the direction 5 of the disciplining authority and to issue subpoenas, administer oaths, 6 and take depositions in the course of conducting those investigations 7 and practice reviews at the direction of the disciplining authority;

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- (5) To have the health professions regulatory program establish a system to recruit potential public members, to review the qualifications of such potential members, and to provide orientation to those public members appointed pursuant to law by the governor or the secretary to the boards and commissions specified in RCW 18.130.040(2)(b), and to the advisory committees and councils for professions specified in RCW 18.130.040(2)(a); and
- 15 (6) To adopt rules, in consultation with the disciplining 16 authorities, requiring every license holder to report information 17 identified in RCW 18.130.070.
- NEW SECTION. Sec. 5. A new section is added to chapter 18.130 RCW to read as follows:

With regard to complaints that only allege that a license holder has committed an act or acts of unprofessional conduct involving sexual misconduct, the secretary shall serve as the sole disciplining authority in every aspect of the disciplinary process, including initiating investigations, investigating, determining the disposition of the complaint, holding hearings, preparing findings of fact, issuing orders or dismissals of charges as provided in RCW 18.130.110, entering into stipulations permitted by RCW 18.130.172, or issuing summary suspensions under section 6 of this act. The board or commission shall review all cases and only refer to the secretary sexual misconduct cases that do not involve clinical expertise or standard of care issues.

- NEW SECTION. Sec. 6. A new section is added to chapter 18.130 RCW to read as follows:
- 34 (1) Upon an order of a disciplining authority to summarily suspend 35 a license, or restrict or limit a license holder's practice pursuant to 36 RCW 18.130.050 or section 5 of this act, the license holder is entitled

- to a show cause hearing before a panel or the secretary as identified in subsection (2) of this section within fourteen days of requesting a show cause hearing. The license holder must request the show cause hearing within twenty days of the issuance of the order. At the show cause hearing, the disciplining authority has the burden of demonstrating that more probable than not, the license holder poses an immediate threat to the public health and safety. The license holder must request a hearing regarding the statement of charges in accordance with RCW 18.130.090.
  - (2)(a) In the case of a license holder who is regulated by a board or commission identified in RCW 18.130.040(2)(b), the show cause hearing must be held by a panel of the appropriate board or commission.
  - (b) In the case of a license holder who is regulated by the secretary under RCW 18.130.040(2)(a), the show cause hearing must be held by the secretary.
  - (3) At the show cause hearing, the show cause hearing panel or the secretary may consider the statement of charges, the motion, and documents supporting the request for summary action, the respondent's answer to the statement of charges, and shall provide the license holder with an opportunity to provide documentary evidence and written testimony, and be represented by counsel. Prior to the show cause hearing, the disciplining authority shall provide the license holder with all documentation in support of the charges against the license holder.
  - (4)(a) If the show cause hearing panel or secretary determines that the license holder does not pose an immediate threat to the public health and safety, the panel or secretary may overturn the summary suspension or restriction order.
  - (b) If the show cause hearing panel or secretary determines that the license holder poses an immediate threat to the public health and safety, the summary suspension or restriction order shall remain in effect. The show cause hearing panel or secretary may amend the order as long as the amended order ensures that the license holder will no longer pose an immediate threat to the public health and safety.
  - (5) Within forty-five days of the show cause hearing panel's or secretary's determination to sustain the summary suspension or place restrictions on the license, the license holder may request a full hearing on the merits of the disciplining authority's decision to

- suspend or restrict the license. A full hearing must be provided within forty-five days of receipt of the request for a hearing, unless
- 3 stipulated otherwise.

- 4 <u>NEW SECTION.</u> **Sec. 7.** A new section is added to chapter 18.130 RCW 5 to read as follows:
  - (1)(a) The secretary is authorized to receive criminal history record information that includes nonconviction data for any purpose associated with investigation or licensing and investigate the complete criminal history and pending charges of all applicants and license holders.
  - (b) Dissemination or use of nonconviction data for purposes other than that authorized in this section is prohibited. Disciplining authorities shall restrict the use of background check results in determining the individual's suitability for a license and in conducting disciplinary functions.
  - (2)(a) The secretary shall establish requirements for each applicant for an initial license to obtain a state background check through the state patrol prior to the issuance of any license. The background check may be fingerprint-based at the discretion of the department.
  - (b) The secretary shall specify those situations where a background check under (a) of this subsection is inadequate and an applicant for an initial license must obtain an electronic fingerprint-based national background check through the state patrol and federal bureau of investigation. Situations where a background check is inadequate may include instances where an applicant has recently lived out of state or where the applicant has a criminal record in Washington. The secretary shall issue a temporary practice permit to an applicant who must have a national background check conducted if the background check conducted under (a) of this subsection does not reveal a criminal record in Washington, and if the applicant meets the provisions of RCW 18.130.075.
  - (3) In addition to the background check required in subsection (2) of this section, an investigation may include an examination of state and national criminal identification data. The disciplining authority shall use the information for determining eligibility for licensure or renewal. The disciplining authority may also use the information when

- determining whether to proceed with an investigation of a report under RCW 18.130.080. For a national criminal history records check, the department shall require fingerprints be submitted to and searched through the Washington state patrol identification and criminal history section. The Washington state patrol shall forward the fingerprints to the federal bureau of investigation.
  - (4) The secretary shall adopt rules to require license holders to report to the disciplining authority any arrests, convictions, or other determinations or findings by a law enforcement agency occurring after the effective date of this section for a criminal offense. The report must be made within fourteen days of the conviction.
  - (5) The secretary shall conduct an annual review of a representative sample of all license holders who have previously obtained a background check through the department. The selection of the license holders to be reviewed must be representative of all categories of license holders and geographic locations.
  - (6)(a) When deciding whether or not to issue an initial license, the disciplining authority shall consider the results of any background check conducted under subsection (2) of this section that reveals a conviction for any criminal offense that constitutes unprofessional conduct under this chapter or the chapters specified in RCW 18.130.040(2) or a series of arrests that when considered together demonstrate a pattern of behavior that, without investigation, may pose a risk to the safety of the license holder's patients.
  - (b) If the background check conducted under subsection (2) of this section reveals any information related to unprofessional conduct that has not been previously disclosed to the disciplining authority, the disciplining authority shall take appropriate disciplinary action against the license holder.
    - (7) The department shall:
  - (a) Require the applicant or license holder to submit full sets of fingerprints if necessary to complete the background check;
  - (b) Require the applicant to submit any information required by the state patrol; and
  - (c) Notify the applicant if their background check reveals a criminal record. Only when the background check reveals a criminal record will an applicant receive a notice. Upon receiving such a notice, the applicant may request and the department shall provide a

copy of the record to the extent permitted under RCW 10.97.050, including making accessible to the applicant for their personal use and information any records of arrest, charges, or allegations of criminal conduct or other nonconviction data pursuant to RCW 10.97.050(4).

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- (8) Criminal justice agencies shall provide the secretary with both conviction and nonconviction information that the secretary requests for investigations under this chapter.
- (9) There is established a unit within the department for the purpose of detection, investigation, and prosecution of any act prohibited or declared unlawful under this chapter. The secretary will employ supervisory, legal, and investigative personnel for the unit who must be qualified by training and experience.
- 13 **Sec. 8.** RCW 18.130.080 and 2006 c 99 s 5 are each amended to read 14 as follows:
  - (1) ((A person, including but not limited to consumers, licensees, corporations, organizations, health care facilities, impaired practitioner programs, or voluntary substance abuse monitoring programs approved by disciplining authorities, and state and local governmental agencies,)) (a) An individual, an impaired practitioner program, or a voluntary substance abuse monitoring program approved by a disciplining authority, may submit a written complaint to the disciplining authority charging a license holder or applicant with unprofessional conduct and specifying the grounds therefor or to report information to the disciplining authority, or voluntary substance abuse monitoring impaired practitioner program approved by program, or an disciplining authority, which indicates that the license holder may not be able to practice his or her profession with reasonable skill and safety to consumers as a result of a mental or physical condition.
  - (b)(i) Every license holder, corporation, organization, health care facility, and state and local governmental agency that employs a license holder shall report to the disciplining authority when the employed license holder's services have been terminated or restricted based upon a final determination that the license holder has either committed an act or acts that may constitute unprofessional conduct or that the license holder may not be able to practice his or her profession with reasonable skill and safety to consumers as a result of a mental or physical condition.

- (ii) All reports required by (b)(i) of this subsection must be submitted to the disciplining authority as soon as possible, but no later than twenty days after a determination has been made. A report should contain the following information, if known:
- 5 (A) The name, address, and telephone number of the person making 6 the report;
  - (B) The name, address, and telephone number of the license holder being reported;
- 9 (C) The case number of any patient whose treatment is the subject 10 of the report;
- 11 (D) A brief description or summary of the facts that gave rise to 12 the issuance of the report, including dates of occurrences;
- 13 <u>(E) If court action is involved, the name of the court in which the</u> 14 action is filed, the date of filing, and the docket number; and
- 15 <u>(F) Any further information that would aid in the evaluation of the</u> 16 report.
  - (iii) Mandatory reports required by (b)(i) of this subsection are exempt from public inspection and copying to the extent permitted under chapter 42.56 RCW or to the extent that public inspection or copying of the report would invade or violate a person's right to privacy as set forth in RCW 42.56.050.
- 22 (2) If the disciplining authority determines that ((the)) a complaint submitted under subsection (1) of this section merits 23 24 investigation, or if the disciplining authority has reason to believe, 25 without a formal complaint, that a license holder or applicant may have engaged in unprofessional conduct, the disciplining authority shall 26 27 investigate to determine whether there has been unprofessional conduct. In determining whether or not to investigate, the disciplining 28 authority shall consider any prior complaints received by 29 disciplining authority, any prior findings of fact 30 under RCW 31 18.130.110, any stipulations to informal disposition under RCW 32 18.130.172, and any comparable action taken by other state disciplining authorities. 33
- $((\frac{(2)}{(2)}))$  (3) Notwithstanding subsection  $((\frac{(1)}{(1)}))$  (2) of this section, the disciplining authority shall initiate an investigation in every instance where:
- 37 <u>(a) The disciplining authority receives information that a health</u> 38 care provider has been disqualified from participating in the federal

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- medicare program, under Title XVIII of the federal social security act, or the federal medicaid program, under Title XIX of the federal social security act; or
- (b) There is a pattern of complaints, arrests, or other actions
  that may not have resulted in a formal adjudication of wrongdoing, but
  when considered together demonstrate a pattern of similar conduct that,
  without investigation, likely poses a risk to the safety of the license
  holder's patients.
- 9 (4) Failure of a license holder to submit a mandatory report to the 10 disciplining authority under subsection (1)(b) of this section is 11 punishable by a civil penalty not to exceed five hundred dollars and 12 constitutes unprofessional conduct.
- (5) If a report has been made by a hospital to the department under RCW 70.41.210 or an ambulatory surgical facility under RCW 70.230.120, a report to the disciplining authority under subsection (1)(b) of this section is not required.

- (((3) A person who files a complaint or reports information under this section in good faith is immune from suit in any civil action related to the filing or contents of the complaint.))
- 20 (6) A person is immune from civil liability, whether direct or 21 derivative, for providing information in good faith to the disciplining 22 authority under this section.
- 23 (7)(a) The secretary is authorized to receive criminal history
  24 record information that includes nonconviction data for any purpose
  25 associated with the investigation or licensing of persons under this
  26 chapter.
- 27 <u>(b) Dissemination or use of nonconviction data for purposes other</u> 28 <u>than that authorized in this section is prohibited.</u>
- 29 **Sec. 9.** RCW 18.130.095 and 2005 c 274 s 231 are each amended to 30 read as follows:
- 31 (1)(a) The secretary, in consultation with the disciplining
  32 authorities, shall develop uniform procedural rules to respond to
  33 public inquiries concerning complaints and their disposition, active
  34 investigations, statement of charges, findings of fact, and final
  35 orders involving a ((licensee)) license holder, applicant, or
  36 unlicensed person. The uniform procedural rules adopted under this
  37 subsection apply to all adjudicative proceedings conducted under this

chapter and shall include provisions for establishing time periods for 1 2 initial assessment, investigation, charging, discovery, settlement, and adjudication of complaints, and shall include enforcement provisions 3 for violations of the specific time periods by the department, the 4 disciplining authority, and the respondent. A ((licensee)) license 5 holder must be notified upon receipt of a complaint, except when the 6 7 notification would impede an effective investigation. At the earliest point of time the ((licensee)) license holder must be allowed to submit 8 a written statement about that complaint, which statement must be 9 10 included in the file. Complaints filed after July 27, 1997, are exempt from public disclosure under chapter 42.56 RCW until the complaint has 11 12 been initially assessed and determined to warrant an investigation by 13 the disciplining authority. Complaints determined not to warrant an 14 investigation by the disciplining authority are no longer considered complaints, but must remain in the records and tracking system of the 15 Information about complaints that did not warrant an 16 17 investigation, including the existence of the complaint, released only upon receipt of a written public disclosure request or 18 pursuant to an interagency agreement as provided in (b) of this 19 subsection. Complaints determined to warrant no cause for action after 20 21 investigation are subject to public disclosure, must include an 22 explanation of the determination to close the complaint, and must remain in the records and tracking system of the department. 23

- (b) The secretary, on behalf of the disciplining authorities, shall enter into interagency agreements for the exchange of records, which may include complaints filed but not yet assessed, with other state agencies if access to the records will assist those agencies in meeting their federal or state statutory responsibilities. Records obtained by state agencies under the interagency agreements are subject to the limitations on disclosure contained in (a) of this subsection.
- (2) The uniform procedures for conducting investigations shall provide that prior to taking a written statement:
- (a) For violation of this chapter, the investigator shall inform such person, in writing of: (i) The nature of the complaint; (ii) that the person may consult with legal counsel at his or her expense prior to making a statement; and (iii) that any statement that the person makes may be used in an adjudicative proceeding conducted under this chapter; and

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(b) From a witness or potential witness in an investigation under this chapter, the investigator shall inform the person, in writing, that the statement may be released to the ((licensee)) license holder, applicant, or unlicensed person under investigation if a statement of charges is issued.

- (3) Only upon the authorization of a disciplining authority identified in RCW 18.130.040(2)(b), the secretary, or his or her designee, may serve as the presiding officer for any disciplinary proceedings of the disciplining authority authorized under this chapter. ((Except as provided in RCW 18.130.050(8),)) The presiding officer shall not vote on or make any final decision in cases pertaining to standards of practice or where clinical expertise is necessary. All functions performed by the presiding officer shall be subject to chapter 34.05 RCW. The secretary, in consultation with the disciplining authorities, shall adopt procedures for implementing this subsection.
- 17 (4) The uniform procedural rules shall be adopted by all disciplining authorities listed in RCW 18.130.040(2), and shall be used for all adjudicative proceedings conducted under this chapter, as defined by chapter 34.05 RCW. The uniform procedural rules shall address the use of a presiding officer authorized in subsection (3) of this section to determine and issue decisions on all legal issues and motions arising during adjudicative proceedings.
  - Sec. 10. RCW 18.130.160 and 2006 c 99 s 6 and 2006 c 8 s 104 are each reenacted and amended to read as follows:

Upon a finding, after hearing, that a license holder ((erapplicant)) has committed unprofessional conduct or is unable to practice with reasonable skill and safety due to a physical or mental condition, the disciplining authority ((may consider the imposition of sanctions, taking into account)) shall issue an order including sanctions adopted in accordance with the schedule adopted under section 12 of this act giving proper consideration to any prior findings of fact under RCW 18.130.110, any stipulations to informal disposition under RCW 18.130.172, and any action taken by other in-state or out-of-state disciplining authorities((, and issue an)). The order ((providing)) must provide for one or any combination of the following, as directed by the schedule:

1 (1) Revocation of the license;

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- (2) Suspension of the license for a fixed or indefinite term;
  - (3) Restriction or limitation of the practice;
- 4 (4) Requiring the satisfactory completion of a specific program of remedial education or treatment;
  - (5) The monitoring of the practice by a supervisor approved by the disciplining authority;
    - (6) Censure or reprimand;
- 9 (7) Compliance with conditions of probation for a designated period of time;
- 11 (8) Payment of a fine for each violation of this chapter, not to 12 exceed five thousand dollars per violation. Funds received shall be 13 placed in the health professions account;
  - (9) Denial of the license request;
- 15 (10) Corrective action;
  - (11) Refund of fees billed to and collected from the consumer;
- 17 (12) A surrender of the practitioner's license in lieu of other 18 sanctions, which must be reported to the federal data bank.

Any of the actions under this section may be totally or partly stayed by the disciplining authority. Safeguarding the public's health and safety is the paramount responsibility of every disciplining authority ((and)). In determining what action is appropriate, the disciplining authority must consider the schedule adopted under section 12 of this act. Where the schedule allows flexibility in determining the appropriate sanction, the disciplining authority must first consider what sanctions are necessary to protect or compensate the public. Only after such provisions have been made may the disciplining authority consider and include in the order requirements designed to rehabilitate the license holder ((or applicant)). All costs associated with compliance with orders issued under this section are the obligation of the license holder ((or applicant)). The disciplining authority may order permanent revocation of a license if it finds that the license holder can never be rehabilitated or can never regain the ability to practice with reasonable skill and safety.

35 <u>Surrender or permanent revocation of a license under this section</u> 36 is not subject to a petition for reinstatement under RCW 18.130.150.

The disciplining authority may determine that a case presents unique circumstances that the schedule adopted under section 12 of this

act does not adequately address. The disciplining authority may deviate from the schedule adopted under section 12 of this act when selecting appropriate sanctions, but the disciplining authority must issue a written explanation of the basis for not following the schedule.

The ((licensee or applicant)) license holder may enter into a stipulated disposition of charges that includes one or more of the sanctions of this section, but only after a statement of charges has been issued and the ((licensee)) license holder has been afforded the opportunity for a hearing and has elected on the record to forego such a hearing. The stipulation shall either contain one or more specific findings of unprofessional conduct or inability to practice, or a statement by the ((licensee)) license holder acknowledging that evidence is sufficient to justify one or more specified findings of unprofessional conduct or inability to practice. The stipulation entered into pursuant to this subsection shall be considered formal disciplinary action for all purposes.

Sec. 11. RCW 18.130.170 and 1995 c 336 s 8 are each amended to read as follows:

(1) If the disciplining authority believes a license holder ((exapplicant)) may be unable to practice with reasonable skill and safety to consumers by reason of any mental or physical condition, a statement of charges in the name of the disciplining authority shall be served on the license holder ((exapplicant)) and notice shall also be issued providing an opportunity for a hearing. The hearing shall be limited to the sole issue of the capacity of the license holder ((exapplicant)) to practice with reasonable skill and safety. If the disciplining authority determines that the license holder ((exapplicant)) is unable to practice with reasonable skill and safety for one of the reasons stated in this subsection, the disciplining authority shall impose such sanctions under RCW 18.130.160 as is deemed necessary to protect the public.

(2)(a) In investigating or adjudicating a complaint or report that a license holder ((or applicant)) may be unable to practice with reasonable skill or safety by reason of any mental or physical condition, the disciplining authority may require a license holder ((or applicant)) to submit to a mental or physical examination by one or

more licensed or certified health professionals designated by the 1 2 disciplining authority. The license holder ((or applicant)) shall be provided written notice of the disciplining authority's intent to order 3 a mental or physical examination, which notice shall include: (i) A 4 statement of the specific conduct, event, or circumstances justifying 5 an examination; (ii) a summary of the evidence supporting the 6 7 disciplining authority's concern that the license holder ((<del>or</del> applicant)) may be unable to practice with reasonable skill and safety 8 by reason of a mental or physical condition, and the grounds for 9 10 believing such evidence to be credible and reliable; (iii) a statement of the nature, purpose, scope, and content of the intended examination; 11 12 (iv) a statement that the license holder ((or applicant)) has the right 13 to respond in writing within twenty days to challenge the disciplining 14 authority's grounds for ordering an examination or to challenge the manner or form of the examination; and (v) a statement that if the 15 license holder ((or applicant)) timely responds to the notice of 16 17 intent, then the license holder ((or applicant)) will not be required to submit to the examination while the response is under consideration. 18

(b) Upon submission of a timely response to the notice of intent to order a mental or physical examination, the license holder ((or applicant)) shall have an opportunity to respond to or refute such an order by submission of evidence or written argument or both. evidence and written argument supporting and opposing the mental or physical examination shall be reviewed by either a panel of the disciplining authority members who have not been involved with the allegations against the license holder ((or applicant)) or a neutral decision maker approved by the disciplining authority. The reviewing panel of the disciplining authority or the approved neutral decision maker may, in its discretion, ask for oral argument from the parties. The reviewing panel of the disciplining authority or the approved neutral decision maker shall prepare a written decision as to whether: There is reasonable cause to believe that the license holder ((or applicant)) may be unable to practice with reasonable skill and safety by reason of a mental or physical condition, or the manner or form of the mental or physical examination is appropriate, or both.

(c) Upon receipt by the disciplining authority of the written decision, or upon the failure of the license holder ((or applicant)) to timely respond to the notice of intent, the disciplining authority may

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issue an order requiring the license holder ((or applicant)) to undergo 1 2 a mental or physical examination. All such mental or physical examinations shall be narrowly tailored to address only the alleged 3 mental or physical condition and the ability of the license holder ((or 4 applicant)) to practice with reasonable skill and safety. An order of 5 disciplining authority requiring the license holder 6 7 applicant)) to undergo a mental or physical examination is not a final order for purposes of appeal. The cost of the examinations ordered by 8 the disciplining authority shall be paid out of the health professions 9 10 account. In addition to any examinations ordered by the disciplining authority, the ((<del>licensee</del>)) <u>license holder</u> may submit physical or 11 12 mental examination reports from licensed or certified health 13 professionals of the license holder's ((or applicant's)) choosing and 14 expense.

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- (d) If the disciplining authority finds that a license holder ((or applicant)) has failed to submit to a properly ordered mental or physical examination, then the disciplining authority may order appropriate action or discipline under RCW 18.130.180(9), unless the failure was due to circumstances beyond the person's control. However, no such action or discipline may be imposed unless the license holder ((or applicant)) has had the notice and opportunity to challenge the disciplining authority's grounds for ordering the examination, to challenge the manner and form, to assert any other defenses, and to have such challenges or defenses considered by either a panel of the disciplining authority members who have not been involved with the allegations against the license holder ((or applicant)) or a neutral decision maker approved by the disciplining authority, as previously set forth in this section. Further, the action or discipline ordered by the disciplining authority shall not be more severe than a suspension of the license, certification, registration, or application until such time as the license holder ((or applicant)) complies with the properly ordered mental or physical examination.
- (e) Nothing in this section shall restrict the power of a disciplining authority to act in an emergency under RCW 34.05.422(4), 34.05.479, and  $18.130.050((\frac{(7)}{)})$  (8).
- (f) A determination by a court of competent jurisdiction that a license holder ((or applicant)) is mentally incompetent or ((mentally ill)) an individual with mental illness is presumptive evidence of the

- license holder's ((or applicant's)) inability to practice with reasonable skill and safety. An individual affected under this section shall at reasonable intervals be afforded an opportunity, at his or her expense, to demonstrate that the individual can resume competent practice with reasonable skill and safety to the consumer.
- (3) For the purpose of subsection (2) of this section, ((an applicant or)) a license holder governed by this chapter, by making application, practicing, or filing a license renewal, is deemed to have given consent to submit to a mental, physical, or psychological examination when directed in writing by the disciplining authority and further to have waived all objections to the admissibility or use of the examining health professional's testimony or examination reports by the disciplining authority on the ground that the testimony or reports constitute privileged communications.
- NEW SECTION. Sec. 12. A new section is added to chapter 18.130 RCW to read as follows:
  - Each of the disciplining authorities identified in RCW 18.130.040(2)(b) shall appoint a representative to review the secretary's sanctioning guidelines, as well as guidelines adopted by any of the boards and commissions, and collaborate to develop a schedule that defines appropriate ranges of sanctions that are applicable upon a determination that a license holder has committed unprofessional conduct as defined in this chapter or the chapters specified in RCW 18.130.040(2). The schedule must identify aggravating and mitigating circumstances that may enhance or reduce the sanction imposed by the disciplining authority for unprofessional conduct. schedule must apply to all disciplining authorities. In addition, the disciplining authorities shall make provisions for instances in which there are multiple findings of unprofessional conduct. establishing the proposed schedule, the disciplining authorities shall consider maintaining consistent sanction determinations that maximize the protection of the public's health and while maintaining the rights of health care providers of the different health professions. disciplining authorities shall submit the proposed schedule and recommendations to modify or adopt the secretary's guidelines to the secretary no later than November 15, 2008.

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(2) The secretary shall adopt rules establishing a uniform sanctioning schedule that is consistent with the proposed schedule developed under subsection (1) of this section. The schedule shall be applied to all disciplinary actions commenced under this chapter after January 1, 2009. The secretary shall use his or her emergency rule-making authority pursuant to the procedures under chapter 34.05 RCW, to adopt rules that take effect no later than January 1, 2009, to implement the schedule.

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- (3) The disciplining authority may determine that a case presents unique circumstances that the schedule adopted under this section does not adequately address. The disciplining authority may deviate from the schedule adopted under this section when selecting appropriate sanctions, but the disciplining authority must issue a written explanation in the order of the basis for not following the schedule.
- 15 (4) The secretary shall report to the legislature by January 15, 2009, on the adoption of the sanctioning schedule.
  - Sec. 13. RCW 18.130.310 and 1989 1st ex.s. c 9 s 313 are each amended to read as follows:
  - (1) Subject to RCW 40.07.040, the disciplinary authority shall submit ((a biennial)) an annual report to the legislature on its proceedings during the ((biennium)) year, detailing the number of complaints made, investigated, and adjudicated and manner of disposition. In addition, the report must provide data on the department's background check activities conducted under section 7 of this act and the effectiveness of those activities in identifying potential license holders who may not be qualified to practice safely. The report must summarize the distribution of the number of cases assigned to each attorney and investigator for each profession. The identity of the attorney and investigator must remain anonymous. The report may include recommendations for improving the disciplinary process, including proposed legislation. The department shall develop a uniform report format.
- 33 (2) Each disciplining authority identified in RCW 18.130.040(2)(b)
  34 may submit an annual report to complement the report required under
  35 subsection (1) of this section. Each report may provide additional
  36 information about the disciplinary activities, rule-making and policy

- 1 <u>activities</u>, and <u>receipts</u> and <u>expenditures</u> for the individual
- 2 <u>disciplining authority.</u>

- **Sec. 14.** RCW 70.41.210 and 2005 c 470 s 1 are each amended to read 4 as follows:
  - (1) The chief administrator or executive officer of a hospital shall report to the department when the practice of a health care practitioner as defined in subsection (2) of this section is restricted, suspended, limited, or terminated based upon a conviction, determination, or finding by the hospital that the health care practitioner has committed an action defined as unprofessional conduct under RCW 18.130.180. The chief administrator or executive officer shall also report any voluntary restriction or termination of the practice of a health care practitioner as defined in subsection (2) of this section while the practitioner is under investigation or the subject of a proceeding by the hospital regarding unprofessional conduct, or in return for the hospital not conducting such an investigation or proceeding or not taking action. The department will forward the report to the appropriate disciplining authority.
  - (2) The reporting requirements apply to the following health care practitioners: Pharmacists as defined in chapter 18.64 RCW; advanced registered nurse practitioners as defined in chapter 18.79 RCW; dentists as defined in chapter 18.32 RCW; naturopaths as defined in chapter 18.36A RCW; optometrists as defined in chapter 18.53 RCW; osteopathic physicians and surgeons as defined in chapter 18.57 RCW; osteopathic ((physician [physicians'])) physicians' assistants as defined in chapter 18.57A RCW; physicians as defined in chapter 18.71 RCW; physician assistants as defined in chapter 18.71A RCW; podiatric physicians and surgeons as defined in chapter 18.22 RCW; and psychologists as defined in chapter 18.83 RCW.
  - (3) Reports made under subsection (1) of this section shall be made within fifteen days of the date: (a) A conviction, determination, or finding is made by the hospital that the health care practitioner has committed an action defined as unprofessional conduct under RCW 18.130.180; or (b) the voluntary restriction or termination of the practice of a health care practitioner, including his or her voluntary resignation, while under investigation or the subject of proceedings

regarding unprofessional conduct under RCW 18.130.180 is accepted by the hospital.

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- (4) Failure of a hospital to comply with this section is punishable by a civil penalty not to exceed ((two)) five hundred ((fifty)) dollars.
- (5) A hospital, its chief administrator, or its executive officer 6 7 who files a report under this section is immune from suit, whether direct or derivative, in any civil action related to the filing or 8 contents of the report, unless the conviction, determination, or 9 finding on which the report and its content are based is proven to not 10 have been made in good faith. The prevailing party in any action 11 brought alleging the conviction, determination, finding, or report was 12 13 not made in good faith, shall be entitled to recover the costs of 14 litigation, including reasonable attorneys' fees.
  - (6) The department shall forward reports made under subsection (1) of this section to the appropriate disciplining authority designated under Title 18 RCW within fifteen days of the date the report is received by the department. The department shall notify a hospital that has made a report under subsection (1) of this section of the results of the disciplining authority's case disposition decision within fifteen days after the case disposition. Case disposition is the decision whether to issue a statement of charges, take informal action, or close the complaint without action against a practitioner. In its biennial report to the legislature under RCW 18.130.310, the department shall specifically identify the case dispositions of reports made by hospitals under subsection (1) of this section.
- 27 (7) The department shall not increase hospital license fees to 28 carry out this section before July 1, ((2007)) 2008.
- NEW SECTION. Sec. 15. A new section is added to chapter 42.52 RCW to read as follows:

Members of a health profession board or commission as identified in RCW 18.130.040(2)(b) may express their professional opinions to an elected official about the work of the board or commission on which the member serves, even if those opinions differ from the department of health's official position. Such communication shall be to inform the elected official and not to lobby in support or opposition to any initiative to the legislature.

- **Sec. 16.** RCW 43.70.320 and 1993 c 492 s 411 are each amended to read as follows:
  - (1) There is created in the state treasury an account to be known as the health professions account. All fees received by the department for health professions licenses, registration, certifications, renewals, or examinations and the civil penalties assessed and collected by the department under RCW 18.130.190 shall be forwarded to the state treasurer who shall credit such moneys to the health professions account.
  - (2) All expenses incurred in carrying out the health professions licensing activities of the department shall be paid from the account as authorized by legislative appropriation, except as provided in subsection (4) of this section. Any residue in the account shall be accumulated and shall not revert to the general fund at the end of the biennium.
  - (3) The secretary shall biennially prepare a budget request based on the anticipated costs of administering the health professions licensing activities of the department which shall include the estimated income from health professions fees.
  - (4) The secretary shall, at the request of a board or commission as applicable, spend unappropriated funds in the health professions account that are allocated to the requesting board or commission to meet unanticipated costs of that board or commission when revenues exceed more than fifteen percent over the department's estimated six-year spending projections for the requesting board or commission. Unanticipated costs shall be limited to spending as authorized in subsection (3) of this section for anticipated costs.
- **Sec. 17.** RCW 18.130.040 and 2007 c 269 s 17 and 2007 c 70 s 11 are each reenacted and amended to read as follows:
  - (1) This chapter applies only to the secretary and the boards and commissions having jurisdiction in relation to the professions licensed under the chapters specified in this section. This chapter does not apply to any business or profession not licensed under the chapters specified in this section.
- 35 (2)(a) The secretary has authority under this chapter in relation 36 to the following professions:

- (i) Dispensing opticians licensed and designated apprentices under 1 2 chapter 18.34 RCW;
- (ii) Naturopaths licensed under chapter 18.36A RCW; 3
- (iii) Midwives licensed under chapter 18.50 RCW; 4
- 5 (iv) Ocularists licensed under chapter 18.55 RCW;
- (v) Massage operators and businesses licensed under chapter 18.108 б 7 RCW;
- (vi) Dental hygienists licensed under chapter 18.29 RCW; 8
- 9 (vii) Acupuncturists licensed under chapter 18.06 RCW;
- (viii) Radiologic technologists certified and X-ray technicians 10 registered under chapter 18.84 RCW; 11
- (ix) Respiratory care practitioners licensed under chapter 18.89 12 13 RCW;
- (x) Persons registered under chapter 18.19 RCW; 14
- (xi) Persons licensed as mental health counselors, marriage and 15 16 family therapists, and social workers under chapter 18.225 RCW;
- 17 (xii) Persons registered as nursing pool operators under chapter 18.52C RCW; 18
- 19 (xiii) Nursing assistants registered or certified under chapter 18.88A RCW; 20
- (xiv) Health care assistants certified under chapter 18.135 RCW; 21
- 22 (xv) Dietitians and nutritionists certified under chapter 18.138 RCW; 23
- (xvi) Chemical dependency professionals certified under chapter 24 18.205 RCW; 25
- (xvii) Sex offender treatment providers and certified affiliate sex 26 27 offender treatment providers certified under chapter 18.155 RCW;
- (xviii) Persons licensed and certified under chapter 18.73 RCW or 28 RCW 18.71.205; 29
- (xix) Denturists licensed under chapter 18.30 RCW; 30
- 31 (xx) Orthotists and prosthetists licensed under chapter 18.200 RCW;
- 32 (xxi) Surgical technologists registered under chapter 18.215 RCW;
- (xxii) Recreational therapists; and 33

- (xxiii) Animal massage practitioners certified under chapter 18.240 34 35 RCW.
- (b) The boards and commissions having authority under this chapter 36 are as follows:

- 1 (i) The podiatric medical board as established in chapter 18.22 RCW;
- 3 (ii) The chiropractic quality assurance commission as established 4 in chapter 18.25 RCW;
- 5 (iii) The dental quality assurance commission as established in 6 chapter 18.32 RCW governing licenses issued under chapter 18.32 RCW and 7 licenses and registrations issued under chapter 18.260 RCW;
- 8 (iv) The board of hearing and speech as established in chapter 9 18.35 RCW;
- 10 (v) The board of examiners for nursing home administrators as 11 established in chapter 18.52 RCW;
- 12 (vi) The optometry board as established in chapter 18.54 RCW 13 governing licenses issued under chapter 18.53 RCW;
- (vii) The board of osteopathic medicine and surgery as established in chapter 18.57 RCW governing licenses issued under chapters 18.57 and 18.57A RCW;
- (viii) The board of pharmacy as established in chapter 18.64 RCW governing licenses issued under chapters 18.64 and 18.64A RCW;
- 19 (ix) The medical quality assurance commission as established in 20 chapter 18.71 RCW governing licenses and registrations issued under 21 chapters 18.71 and 18.71A RCW;
- 22 (x) The board of physical therapy as established in chapter 18.74 23 RCW;
- 24 (xi) The board of occupational therapy practice as established in chapter 18.59 RCW;
- 26 (xii) The nursing care quality assurance commission as established 27 in chapter 18.79 RCW governing licenses and registrations issued under 28 that chapter;
- 29 (xiii) The examining board of psychology and its disciplinary 30 committee as established in chapter 18.83 RCW; and
- 31 (xiv) The veterinary board of governors as established in chapter 32 18.92 RCW.
- 33 (3) In addition to the authority to discipline license holders, the 34 disciplining authority has the authority to grant or deny licenses 35 ((based on the conditions and criteria established in this chapter and 36 the chapters specified in subsection (2) of this section. This chapter 37 also governs any investigation, hearing, or proceeding relating to 38 denial of licensure or issuance of a license conditioned on the

- 1 applicant's compliance with an order entered pursuant to RCW 18.130.160
- 2 by)). The disciplining authority may also grant a license subject to
- 3 conditions.
- 4 (4) All disciplining authorities shall adopt procedures to ensure
- 5 substantially consistent application of this chapter, the Uniform
- 6 Disciplinary Act, among the disciplining authorities listed in
- 7 subsection (2) of this section.
- 8 **Sec. 18.** RCW 18.130.040 and 2007 c 269 s 17, 2007 c 253 s 13, and 9 2007 c 70 s 11 are each reenacted and amended to read as follows:
- 10 (1) This chapter applies only to the secretary and the boards and commissions having jurisdiction in relation to the professions licensed under the chapters specified in this section. This chapter does not apply to any business or profession not licensed under the chapters specified in this section.
- 15 (2)(a) The secretary has authority under this chapter in relation 16 to the following professions:
- 17 (i) Dispensing opticians licensed and designated apprentices under 18 chapter 18.34 RCW;
- 19 (ii) Naturopaths licensed under chapter 18.36A RCW;
- 20 (iii) Midwives licensed under chapter 18.50 RCW;
- 21 (iv) Ocularists licensed under chapter 18.55 RCW;
- (v) Massage operators and businesses licensed under chapter 18.108 RCW;
- 24 (vi) Dental hygienists licensed under chapter 18.29 RCW;
- 25 (vii) Acupuncturists licensed under chapter 18.06 RCW;
- 26 (viii) Radiologic technologists certified and X-ray technicians 27 registered under chapter 18.84 RCW;
- 28 (ix) Respiratory care practitioners licensed under chapter 18.89 29 RCW;
- 30 (x) Persons registered under chapter 18.19 RCW;
- 31 (xi) Persons licensed as mental health counselors, marriage and
- 32 family therapists, and social workers under chapter 18.225 RCW;
- 33 (xii) Persons registered as nursing pool operators under chapter 34 18.52C RCW;
- 35 (xiii) Nursing assistants registered or certified under chapter 36 18.88A RCW;
- 37 (xiv) Health care assistants certified under chapter 18.135 RCW;

- 1 (xv) Dietitians and nutritionists certified under chapter 18.138
- 2 RCW;
- 3 (xvi) Chemical dependency professionals certified under chapter
- 4 18.205 RCW;
- 5 (xvii) Sex offender treatment providers and certified affiliate sex
- offender treatment providers certified under chapter 18.155 RCW;
- 7 (xviii) Persons licensed and certified under chapter 18.73 RCW or
- 8 RCW 18.71.205;
- 9 (xix) Denturists licensed under chapter 18.30 RCW;
- 10 (xx) Orthotists and prosthetists licensed under chapter 18.200 RCW;
- 11 (xxi) Surgical technologists registered under chapter 18.215 RCW;
- 12 (xxii) Recreational therapists;
- 13 (xxiii) Animal massage practitioners certified under chapter 18.240
- 14 RCW; and
- 15 (xxiv) Athletic trainers licensed under chapter 18.250 RCW.
- 16 (b) The boards and commissions having authority under this chapter
- 17 are as follows:
- 18 (i) The podiatric medical board as established in chapter 18.22
- 19 RCW;
- 20 (ii) The chiropractic quality assurance commission as established
- 21 in chapter 18.25 RCW;
- 22 (iii) The dental quality assurance commission as established in
- 23 chapter 18.32 RCW governing licenses issued under chapter 18.32 RCW and
- 24 licenses and registrations issued under chapter 18.260 RCW;
- 25 (iv) The board of hearing and speech as established in chapter
- 26 18.35 RCW;
- 27 (v) The board of examiners for nursing home administrators as
- 28 established in chapter 18.52 RCW;
- 29 (vi) The optometry board as established in chapter 18.54 RCW
- 30 governing licenses issued under chapter 18.53 RCW;
- 31 (vii) The board of osteopathic medicine and surgery as established
- 32 in chapter 18.57 RCW governing licenses issued under chapters 18.57 and
- 33 18.57A RCW;
- (viii) The board of pharmacy as established in chapter 18.64 RCW
- 35 governing licenses issued under chapters 18.64 and 18.64A RCW;
- 36 (ix) The medical quality assurance commission as established in
- 37 chapter 18.71 RCW governing licenses and registrations issued under
- 38 chapters 18.71 and 18.71A RCW;

- 1 (x) The board of physical therapy as established in chapter 18.74 2 RCW;
- 3 (xi) The board of occupational therapy practice as established in 4 chapter 18.59 RCW;
- 5 (xii) The nursing care quality assurance commission as established 6 in chapter 18.79 RCW governing licenses and registrations issued under 7 that chapter;
- 8 (xiii) The examining board of psychology and its disciplinary 9 committee as established in chapter 18.83 RCW; and
- 10 (xiv) The veterinary board of governors as established in chapter 11 18.92 RCW.
- (3) In addition to the authority to discipline license holders, the 12 13 disciplining authority has the authority to grant or deny licenses ((based on the conditions and criteria established in this chapter and 14 the chapters specified in subsection (2) of this section. This chapter 15 16 also governs any investigation, hearing, or proceeding relating to 17 denial of licensure or issuance of a license conditioned on the applicant's compliance with an order entered pursuant to RCW 18.130.160 18 by)). The disciplining authority may also grant a license subject to 19 20 conditions.
- 21 (4) All disciplining authorities shall adopt procedures to ensure 22 substantially consistent application of this chapter, the Uniform 23 Disciplinary Act, among the disciplining authorities listed in 24 subsection (2) of this section.
- NEW SECTION. Sec. 19. A new section is added to chapter 18.130 RCW to read as follows:

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- (1) The disciplining authority may deny an application for licensure or grant a license with conditions if the applicant:
- 29 (a) Has had his or her license to practice any health care 30 profession suspended, revoked, or restricted, by competent authority in 31 any state, federal, or foreign jurisdiction;
  - (b) Has committed any act defined as unprofessional conduct for a license holder under RCW 18.130.180;
- 34 (c) Has been convicted or is subject to current prosecution or 35 pending charges of a crime involving moral turpitude or a crime 36 identified in RCW 43.43.830. For purposes of this section, conviction 37 includes all instances in which a plea of guilty or nolo contendere is

- the basis for the conviction and all proceedings in which the prosecution or sentence has been deferred or suspended. At the request of an applicant for an original license whose conviction is under appeal, the disciplining authority may defer decision upon the application during the pendency of such a prosecution or appeal;
  - (d) Fails to prove that he or she is qualified in accordance with the provisions of this chapter, the chapters identified in RCW 18.130.040(2), or the rules adopted by the disciplining authority; or
  - (e) Is not able to practice with reasonable skill and safety to consumers by reason of any mental or physical condition.
  - (i) The disciplining authority may require the applicant, at his or her own expense, to submit to a mental, physical, or psychological examination by one or more licensed health professionals designated by the disciplining authority. The disciplining authority shall provide written notice of its requirement for a mental or physical examination that includes a statement of the specific conduct, event, or circumstances justifying an examination and a statement of the nature, purpose, scope, and content of the intended examination. If the applicant fails to submit to the examination or provide the results of the examination or any required waivers, the disciplining authority may deny the application.
  - (ii) An applicant governed by this chapter is deemed to have given consent to submit to a mental, physical, or psychological examination when directed in writing by the disciplining authority and further to have waived all objections to the admissibility or use of the examining health professional's testimony or examination reports by the disciplining authority on the grounds that the testimony or reports constitute privileged communications.
  - (2) The provisions of RCW 9.95.240 and chapter 9.96A RCW do not apply to a decision to deny a license under this section.
  - (3) The disciplining authority shall give written notice to the applicant of the decision to deny a license or grant a license with conditions in response to an application for a license. The notice must state the grounds and factual basis for the action and be served upon the applicant.
- 36 (4) A license applicant who is aggrieved by the decision to deny 37 the license or grant the license with conditions has the right to an 38 adjudicative proceeding. The application for adjudicative proceeding

- 1 must be in writing, state the basis for contesting the adverse action,
- 2 include a copy of the adverse notice, and be served on and received by
- 3 the department within twenty-eight days of the decision. The license
- 4 applicant has the burden to establish, by a preponderance of evidence,
- 5 that the license applicant is qualified in accordance with the
- 6 provisions of this chapter, the chapters identified in RCW
- 7 18.130.040(2), and the rules adopted by the disciplining authority.

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- 8 <u>NEW SECTION.</u> **Sec. 20.** A new section is added to chapter 18.130 9 RCW to read as follows:
  - (1)(a) A licensee must produce documents, records, or other items that are within his or her possession or control within twenty-one calendar days of service of a request by a disciplining authority. If the twenty-one calendar day limit results in a hardship upon the licensee, he or she may request, for good cause, an extension not to exceed thirty additional calendar days.
  - (b) In the event the licensee fails to produce the documents, records, or other items as requested by the disciplining authority or fails to obtain an extension of the time for response, the disciplining authority may issue a written citation and assess a fine of up to one hundred dollars per day for each day after the issuance of the citation until the documents, records, or other items are produced.
  - (c) In no event may the administrative fine assessed by the disciplining authority exceed five thousand dollars for each investigation made with respect to the violation.
    - (2) Citations issued under this section must include the following:
  - (a) A statement that the citation represents a determination that the person named has failed to produce documents, records, or other items as required by this section and that the determination is final unless contested as provided in this section;
    - (b) A statement of the specific circumstances;
  - (c) A statement of the monetary fine, which is up to one hundred dollars per day for each day after the issuance of the citation;
  - (d) A statement informing the licensee that if the licensee desires a hearing to contest the finding of a violation, the hearing must be requested by written notice to the disciplining authority within twenty days of the date of issuance of the citation. The hearing is limited

- to the issue of whether the licensee timely produced the requested documents, records, or other items or had good cause for failure to do so; and
  - (e) A statement that in the event a licensee fails to pay a fine within thirty days of the date of assessment, the full amount of the assessed fine must be added to the fee for renewal of the license unless the citation is being appealed.
    - (3) RCW 18.130.165 governs proof and enforcement of the fine.
- 9 (4) Administrative fines collected under this section must be 10 deposited in the health professions account created in RCW 43.70.320.
  - (5) Issuance of a citation under this section does not preclude the disciplining authority from pursuing other action under this chapter.
- 13 (6) The disciplining authority shall establish and make available 14 to licensees the maximum daily monetary fine that may be issued under 15 subsection (2)(c) of this section. The disciplining authority shall 16 review the maximum fine on a regular basis, but at a minimum, each 17 biennium.
- 18 **Sec. 21.** RCW 18.130.140 and 1984 c 279 s 14 are each amended to 19 read as follows:
- An individual who has been disciplined ((<del>or</del>)), whose license has been denied, or whose license has been granted with conditions by a disciplining authority may appeal the decision as provided in chapter 34.05 RCW.
- 24 Sec. 22. RCW 18.130.150 and 1997 c 58 s 831 are each amended to 25 read as follows:

A person whose license has been suspended ((or revoked)) under this 26 27 chapter may petition the disciplining authority for reinstatement after an interval as determined by the disciplining authority in the order 28 29 unless the disciplining authority has found, pursuant to RCW 30 18.130.160, that the licensee can never be rehabilitated or can never regain the ability to practice with reasonable skill and safety. 31 disciplining authority shall hold hearings on the petition and may deny 32 the petition or may order reinstatement and impose terms and conditions 33 34 as provided in RCW 18.130.160 and issue an order of reinstatement. 35 disciplining authority may require successful completion of 36 examination as a condition of reinstatement.

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A person whose license has been suspended for noncompliance with a support order or ((a residential or)) visitation order under RCW 74.20A.320 may petition for reinstatement at any time by providing the secretary a release issued by the department of social and health services stating that the person is in compliance with the order. If the person has continued to meet all other requirements for reinstatement during the suspension, the secretary shall automatically reissue the person's license upon receipt of the release, and payment of a reinstatement fee, if any.

Sec. 23. RCW 18.130.165 and 1993 c 367 s 20 are each amended to read as follows:

Where an order for payment of a fine is made as a result of <u>a</u> <u>citation under section 20 of this act or</u> a hearing under RCW 18.130.100 or 18.130.190 and timely payment is not made as directed in the final order, the disciplining authority may enforce the order for payment in the superior court in the county in which the hearing was held. This right of enforcement shall be in addition to any other rights the disciplining authority may have as to any licensee ordered to pay a fine but shall not be construed to limit a licensee's ability to seek judicial review under RCW 18.130.140.

In any action for enforcement of an order of payment of a fine, the disciplining authority's order is conclusive proof of the validity of the order of payment of a fine and the terms of payment.

- **Sec. 24.** RCW 18.130.172 and 2000 c 171 s 29 are each amended to read as follows:
- (1) Prior to serving a statement of charges under RCW 18.130.090 or 18.130.170, the disciplinary authority may furnish a statement of allegations to the licensee ((or applicant)) along with a detailed summary of the evidence relied upon to establish the allegations and a proposed stipulation for informal resolution of the allegations. These documents shall be exempt from public disclosure until such time as the allegations are resolved either by stipulation or otherwise.
- (2) The disciplinary authority and the ((applicant or)) licensee may stipulate that the allegations may be disposed of informally in accordance with this subsection. The stipulation shall contain a statement of the facts leading to the filing of the complaint; the act

- or acts of unprofessional conduct alleged to have been committed or the 1 2 alleged basis for determining that the ((applicant or)) licensee is unable to practice with reasonable skill and safety; a statement that 3 the stipulation is not to be construed as a finding of either 4 5 unprofessional conduct or inability to practice; an acknowledgment that a finding of unprofessional conduct or inability to practice, if 6 7 proven, constitutes grounds for discipline under this chapter; and an agreement on the part of the licensee ((or applicant)) that the 8 sanctions set forth in RCW 18.130.160, except RCW 18.130.160 (1), (2), 9 (6), and (8), may be imposed as part of the stipulation, except that no 10 fine may be imposed but the licensee ((or applicant)) may agree to 11 12 reimburse the disciplinary authority the costs of investigation and 13 processing the complaint up to an amount not exceeding one thousand 14 dollars per allegation; and an agreement on the part disciplinary authority to forego further disciplinary proceedings 15 concerning the allegations. A stipulation entered into pursuant to 16 17 this subsection shall not be considered formal disciplinary action.
  - (3) If the licensee ((or applicant)) declines to agree to disposition of the charges by means of a stipulation pursuant to subsection (2) of this section, the disciplinary authority may proceed to formal disciplinary action pursuant to RCW 18.130.090 or 18.130.170.
  - (4) Upon execution of a stipulation under subsection (2) of this section by both the licensee ((or applicant)) and the disciplinary authority, the complaint is deemed disposed of and shall become subject to public disclosure on the same basis and to the same extent as other records of the disciplinary authority. Should the licensee ((or applicant)) fail to pay any agreed reimbursement within thirty days of the date specified in the stipulation for payment, the disciplinary authority may seek collection of the amount agreed to be paid in the same manner as enforcement of a fine under RCW 18.130.165.
- 31 **Sec. 25.** RCW 18.130.180 and 1995 c 336 s 9 are each amended to read as follows:
- 33 The following conduct, acts, or conditions constitute 34 unprofessional conduct for any license holder ((<del>or applicant</del>)) under 35 the jurisdiction of this chapter:
- 36 (1) The commission of any act involving moral turpitude, 37 dishonesty, or corruption relating to the practice of the person's

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- profession, whether the act constitutes a crime or not. If the act 1 2 constitutes a crime, conviction in a criminal proceeding is not a condition precedent to disciplinary action. Upon such a conviction, 3 however, the judgment and sentence is conclusive evidence at the 4 5 ensuing disciplinary hearing of the guilt of the license holder ((or applicant)) of the crime described in the indictment or information, 6 7 and of the person's violation of the statute on which it is based. For the purposes of this section, conviction includes all instances in 8 9 which a plea of guilty or nolo contendere is the basis for the conviction and all proceedings in which the sentence has been deferred 10 or suspended. Nothing in this section abrogates rights guaranteed 11 under chapter 9.96A RCW; 12
  - (2) Misrepresentation or concealment of a material fact in obtaining a license or in reinstatement thereof;

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- (3) All advertising which is false, fraudulent, or misleading;
- (4) Incompetence, negligence, or malpractice which results in injury to a patient or which creates an unreasonable risk that a patient may be harmed. The use of a nontraditional treatment by itself shall not constitute unprofessional conduct, provided that it does not result in injury to a patient or create an unreasonable risk that a patient may be harmed;
- (5) Suspension, revocation, or restriction of the individual's license to practice any health care profession by competent authority in any state, federal, or foreign jurisdiction, a certified copy of the order, stipulation, or agreement being conclusive evidence of the revocation, suspension, or restriction;
- (6) The possession, use, prescription for use, or distribution of controlled substances or legend drugs in any way other than for legitimate or therapeutic purposes, diversion of controlled substances or legend drugs, the violation of any drug law, or prescribing controlled substances for oneself;
- (7) Violation of any state or federal statute or administrative rule regulating the profession in question, including any statute or rule defining or establishing standards of patient care or professional conduct or practice;
  - (8) Failure to cooperate with the disciplining authority by:
- 37 (a) Not furnishing any papers ((<del>or</del>)), documents, records, or other 38 <u>items</u>;

- 1 (b) Not furnishing in writing a full and complete explanation 2 covering the matter contained in the complaint filed with the 3 disciplining authority;
  - (c) Not responding to subpoenas issued by the disciplining authority, whether or not the recipient of the subpoena is the accused in the proceeding; or
  - (d) Not providing reasonable and timely access for authorized representatives of the disciplining authority seeking to perform practice reviews at facilities utilized by the license holder;
  - (9) Failure to comply with an order issued by the disciplining authority or a stipulation for informal disposition entered into with the disciplining authority;
- 13 (10) Aiding or abetting an unlicensed person to practice when a license is required;
  - (11) Violations of rules established by any health agency;
- 16 (12) Practice beyond the scope of practice as defined by law or 17 rule;
- 18 (13) Misrepresentation or fraud in any aspect of the conduct of the 19 business or profession;
- 20 (14) Failure to adequately supervise auxiliary staff to the extent 21 that the consumer's health or safety is at risk;
  - (15) Engaging in a profession involving contact with the public while suffering from a contagious or infectious disease involving serious risk to public health;
  - (16) Promotion for personal gain of any unnecessary or inefficacious drug, device, treatment, procedure, or service;
  - (17) Conviction of any gross misdemeanor or felony relating to the practice of the person's profession. For the purposes of this subsection, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for conviction and all proceedings in which the sentence has been deferred or suspended. Nothing in this section abrogates rights guaranteed under chapter 9.96A RCW;
  - (18) The procuring, or aiding or abetting in procuring, a criminal abortion;
- 35 (19) The offering, undertaking, or agreeing to cure or treat 36 disease by a secret method, procedure, treatment, or medicine, or the 37 treating, operating, or prescribing for any health condition by a

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- 1 method, means, or procedure which the licensee refuses to divulge upon 2 demand of the disciplining authority;
- 3 (20) The willful betrayal of a practitioner-patient privilege as 4 recognized by law;
  - (21) Violation of chapter 19.68 RCW;
- (22) Interference with an investigation or disciplinary proceeding 6 7 by willful misrepresentation of facts before the disciplining authority or its authorized representative, or by the use of threats or 8 9 harassment against any patient or witness to prevent them from providing evidence in a disciplinary proceeding or any other legal 10 action, or by the use of financial inducements to any patient or 11 witness to prevent or attempt to prevent him or her from providing 12 13 evidence in a disciplinary proceeding;
  - (23) Current misuse of:
- 15 (a) Alcohol;

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- (b) Controlled substances; or
- 17 (c) Legend drugs;
- 18 (24) Abuse of a client or patient or sexual contact with a client 19 or patient;
  - (25) Acceptance of more than a nominal gratuity, hospitality, or subsidy offered by a representative or vendor of medical or health-related products or services intended for patients, in contemplation of a sale or for use in research publishable in professional journals, where a conflict of interest is presented, as defined by rules of the disciplining authority, in consultation with the department, based on recognized professional ethical standards.
- 27 **Sec. 26.** RCW 9.96A.020 and 1999 c 16 s 1 are each amended to read 28 as follows:
- (1) Subject to the exceptions in subsections (3) ((and (4)))29 30 through (5) of this section, and unless there is another provision of 31 law to the contrary, a person is not disqualified from employment by the state of Washington or any of its counties, cities, towns, 32 municipal corporations, or quasi-municipal corporations, nor is a 33 person disqualified to practice, pursue or engage in any occupation, 34 trade, vocation, or business for which a license, permit, certificate 35 36 or registration is required to be issued by the state of Washington or 37 any of its counties, cities, towns, municipal corporations, or quasi-

- municipal corporations solely because of a prior conviction of a felony. However, this section does not preclude the fact of any prior conviction of a crime from being considered.
- (2) A person may be denied employment by the state of Washington or any of its counties, cities, towns, municipal corporations, or quasimunicipal corporations, or a person may be denied a license, permit, certificate or registration to pursue, practice or engage in an occupation, trade, vocation, or business by reason of the prior conviction of a felony if the felony for which he or she was convicted directly relates to the position of employment sought or to the specific occupation, trade, vocation, or business for which the license, permit, certificate or registration is sought, and the time elapsed since the conviction is less than ten years. However, for positions in the county treasurer's office, a person may be disqualified from employment because of a prior guilty plea or conviction of a felony involving embezzlement or theft, even if the time elapsed since the guilty plea or conviction is ten years or more.
  - (3) A person is disqualified for any certificate required or authorized under chapters 28A.405 or 28A.410 RCW, because of a prior guilty plea or the conviction of a felony involving sexual exploitation of a child under chapter 9.68A RCW, sexual offenses under chapter 9A.44 RCW where a minor is the victim, promoting prostitution of a minor under chapter 9A.88 RCW, or a violation of similar laws of another jurisdiction, even if the time elapsed since the guilty plea or conviction is ten years or more.
  - (4) A person is disqualified from employment by school districts, educational service districts, and their contractors hiring employees who will have regularly scheduled unsupervised access to children, because of a prior guilty plea or conviction of a felony involving sexual exploitation of a child under chapter 9.68A RCW, sexual offenses under chapter 9A.44 RCW where a minor is the victim, promoting prostitution of a minor under chapter 9A.88 RCW, or a violation of similar laws of another jurisdiction, even if the time elapsed since the guilty plea or conviction is ten years or more.
- 35 (5) The provisions of this chapter do not apply to issuance of 36 licenses or credentials for professions regulated under chapter 18.130 37 RCW.

- (6) Subsections (3) and (4) of this section only apply to a person applying for a certificate or for employment on or after July 25, 1993.
  Subsection (5) of this section only applies to a person applying for a license or credential on or after the effective date of this section.
- 5 **Sec. 27.** RCW 9.95.240 and 2003 c 66 s 1 are each amended to read 6 as follows:

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- (1) Every defendant who has fulfilled the conditions of his or her probation for the entire period thereof, or who shall have been discharged from probation prior to the termination of the period thereof, may at any time prior to the expiration of the maximum period of punishment for the offense for which he or she has been convicted be permitted in the discretion of the court to withdraw his or her plea of quilty and enter a plea of not quilty, or if he or she has been convicted after a plea of not guilty, the court may in its discretion set aside the verdict of guilty; and in either case, the court may thereupon dismiss the information or indictment against such defendant, who shall thereafter be released from all penalties and disabilities resulting from the offense or crime of which he or she has been convicted. The probationer shall be informed of this right in his or her probation papers: PROVIDED, That in any subsequent prosecution, for any other offense, such prior conviction may be pleaded and proved, and shall have the same effect as if probation had not been granted, or the information or indictment dismissed.
- (2)(a) After the period of probation has expired, the defendant may apply to the sentencing court for a vacation of the defendant's record of conviction under RCW 9.94A.640. The court may, in its discretion, clear the record of conviction if it finds the defendant has met the equivalent of the tests in RCW 9.94A.640(2) as those tests would be applied to a person convicted of a crime committed before July 1, 1984.
- (b) The clerk of the court in which the vacation order is entered shall immediately transmit the order vacating the conviction to the Washington state patrol identification section and to the local police agency, if any, which holds criminal history information for the person who is the subject of the conviction. The Washington state patrol and any such local police agency shall immediately update their records to reflect the vacation of the conviction, and shall transmit the order vacating the conviction to the federal bureau of investigation. A

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- 1 conviction that has been vacated under this section may not be
- 2 disseminated or disclosed by the state patrol or local law enforcement
- 3 agency to any person, except other criminal justice enforcement
- 4 agencies.

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- 5 (3) This section does not apply to chapter 18.130 RCW.
- 6 **Sec. 28.** RCW 43.43.825 and 2006 c 99 s 8 are each amended to read 7 as follows:
- (1) Upon a guilty plea or conviction of a person for any felony 8 crime involving homicide under chapter 9A.32 RCW, assault under chapter 9 9A.36 RCW, kidnapping under chapter 9A.40 RCW, ((<del>or</del>)) sex offenses 10 11 under chapter 9A.44 RCW, financial crimes under chapter 9A.60 RCW, 12 violations of the uniform controlled substances act under chapter 69.50 RCW, any drug offense defined under RCW 9.94A.030, or a crime of any 13 type classified as a felony under Washington state law, the prosecuting 14 attorney shall notify the state patrol of such guilty pleas or 15 16 convictions.
  - (2) When the state patrol receives information that a person has pled guilty to or been convicted of one of the felony crimes under subsection (1) of this section, the state patrol shall transmit that information to the department of health. It is the duty of the department of health to identify whether the person holds a credential issued by a disciplining authority listed under RCW 18.130.040, and provide this information to the disciplining authority that issued the credential to the person who pled guilty or was convicted of a crime listed in subsection (1) of this section.
- NEW SECTION. Sec. 29. A new section is added to chapter 18.71 RCW to read as follows:
- 28 (1) The commission shall conduct a pilot project to evaluate the 29 effect of granting the commission additional authority over budget 30 development, spending, and staffing. The pilot project shall begin on 31 July 1, 2008, and conclude on June 30, 2013.
  - (2) The pilot project shall include the following provisions:
- 33 (a) That the secretary shall employ an executive director that is:
- (i) Hired by and serves at the pleasure of the commission;
- 35 (ii) Exempt from the provisions of the civil service law, chapter

- 41.06 RCW and whose salary is established by the commission in accordance with RCW 43.03.028 and 42.17.370; and
- (iii) Responsible for performing all administrative duties of the commission, including preparing an annual budget, and any other duties as delegated to the executive director by the commission;
  - (b) Consistent with the budgeting and accounting act:

- (i) With regard to budget for the remainder of the 2007-2009 biennium, the commission has authority to spend the remaining funds allocated with respect to its professions, physicians regulated under this chapter and physician assistants regulated under chapter 18.71A RCW; and
- (ii) Beginning with the 2009-2011 biennium, the commission is responsible for proposing its own biennial budget which the secretary must submit to the office of financial management;
- (c) That, prior to adopting credentialing fees under RCW 43.70.250, the secretary shall collaborate with the commission to determine the appropriate fees necessary to support the activities of the commission;
- (d) That, prior to the secretary exercising the secretary's authority to adopt uniform rules and guidelines, or any other actions that might impact the licensing or disciplinary authority of the commission, the secretary shall first meet with the commission to determine how those rules or guidelines, or changes to rules or guidelines, might impact the commission's ability to effectively carry out its statutory duties. If the commission, in consultation with the secretary, determines that the proposed rules or guidelines, or changes to existing rules or guidelines, will negatively impact the commission's ability to effectively carry out its statutory duties, then the individual commission shall collaborate with the secretary to develop alternative solutions to mitigate the impacts. If an alternative solution cannot be reached, the parties may resolve the dispute through a mediator as set forth in (f) of this subsection;
- (e) That the commission shall negotiate with the secretary to develop performance-based expectations, including identification of key performance measures. The performance expectations should focus on consistent, timely regulation of health care professionals; and
- (f) That in the event there is a disagreement between the commission and the secretary, that is unable to be resolved through

- negotiation, a representative of both parties shall agree on the designation of a third party to mediate the dispute.
  - (3) By December 15, 2013, the secretary, the commission, and the other commissions conducting similar pilot projects under sections 30 through 32 of this act, shall report to the governor and the legislature on the results of the pilot project. The report shall:
  - (a) Compare the effectiveness of licensing and disciplinary activities of each commission during the pilot project with the licensing and disciplinary activities of the commission prior to the pilot project and the disciplinary activities of other disciplining authorities during the same time period as the pilot project;
  - (b) Compare the efficiency of each commission with respect to the timeliness and personnel resources during the pilot project to the efficiency of the commission prior to the pilot project and the efficiency of other disciplining authorities during the same period as the pilot project;
  - (c) Compare the budgetary activity of each commission during the pilot project to the budgetary activity of the commission prior to the pilot project and to the budgetary activity of other disciplining authorities during the same period as the pilot project;
  - (d) Evaluate each commission's regulatory activities, including timelines, consistency of decision making, and performance levels in comparison to other disciplining authorities; and
- (e) Review summaries of national research and data regarding regulatory effectiveness and patient safety.
- (4) The secretary shall employ staff that are hired and managed by the executive director provided that nothing contained in this section may be construed to alter any existing collective bargaining unit or the provisions of any existing collective bargaining agreement.
- NEW SECTION. Sec. 30. A new section is added to chapter 18.79 RCW to read as follows:
- 32 (1) The commission shall conduct a pilot project to evaluate the 33 effect of granting the commission additional authority over budget 34 development, spending, and staffing. The pilot project shall begin on 35 July 1, 2008, and conclude on June 30, 2013.
  - (2) The pilot project shall include the following provisions:
  - (a) That the secretary shall employ an executive director that is:

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(i) Hired by and serves at the pleasure of the commission;

- (ii) Exempt from the provisions of the civil service law, chapter 41.06 RCW and whose salary is established by the commission in accordance with RCW 43.03.028 and 42.17.370; and
- (iii) Responsible for performing all administrative duties of the commission, including preparing an annual budget, and any other duties as delegated to the executive director by the commission;
  - (b) Consistent with the budgeting and accounting act:
- (i) With regard to budget for the remainder of the 2007-2009 biennium, the commission has authority to spend the remaining funds allocated with respect to advanced registered nurses, registered nurses, and licensed practical nurses regulated under this chapter; and
- (ii) Beginning with the 2009-2011 biennium, the commission is responsible for proposing its own biennial budget which the secretary must submit to the office of financial management;
- (c) That, prior to adopting credentialing fees under RCW 43.70.250, the secretary shall collaborate with the commission to determine the appropriate fees necessary to support the activities of the commission;
- (d) That, prior to the secretary exercising the secretary's authority to adopt uniform rules and guidelines, or any other actions that might impact the licensing or disciplinary authority of the commission, the secretary shall first meet with the commission to determine how those rules or guidelines, or changes to rules or guidelines, might impact the commission's ability to effectively carry out its statutory duties. If the commission, in consultation with the secretary, determines that the proposed rules or guidelines, or changes to existing rules or guidelines, will negatively impact the commission's ability to effectively carry out its statutory duties, then the individual commission shall collaborate with the secretary to develop alternative solutions to mitigate the impacts. If an alternative solution cannot be reached, the parties may resolve the dispute through a mediator as set forth in (f) of this subsection;
- (e) That the commission shall negotiate with the secretary to develop performance-based expectations, including identification of key performance measures. The performance expectations should focus on consistent, timely regulation of health care professionals; and
  - (f) That in the event there is a disagreement between the

- commission and the secretary, that is unable to be resolved through negotiation, a representative of both parties shall agree on the designation of a third party to mediate the dispute.
  - (3) By December 15, 2013, the secretary, the commission, and the other commissions conducting similar pilot projects under sections 29, 31, and 32 of this act, shall report to the governor and the legislature on the results of the pilot project. The report shall:
  - (a) Compare the effectiveness of licensing and disciplinary activities of each commission during the pilot project with the licensing and disciplinary activities of the commission prior to the pilot project and the disciplinary activities of other disciplining authorities during the same time period as the pilot project;
  - (b) Compare the efficiency of each commission with respect to the timeliness and personnel resources during the pilot project to the efficiency of the commission prior to the pilot project and the efficiency of other disciplining authorities during the same period as the pilot project;
  - (c) Compare the budgetary activity of each commission during the pilot project to the budgetary activity of the commission prior to the pilot project and to the budgetary activity of other disciplining authorities during the same period as the pilot project;
  - (d) Evaluate each commission's regulatory activities, including timelines, consistency of decision making, and performance levels in comparison to other disciplining authorities; and
  - (e) Review summaries of national research and data regarding regulatory effectiveness and patient safety.
  - (4) The secretary shall employ staff that are hired and managed by the executive director provided that nothing contained in this section may be construed to alter any existing collective bargaining unit or the provisions of any existing collective bargaining agreement.
- NEW SECTION. Sec. 31. A new section is added to chapter 18.25 RCW to read as follows:
- 33 (1) The commission may conduct a pilot project to evaluate the 34 effect of granting the commission additional authority over budget 35 development, spending, and staffing. If the commission intends to 36 conduct a pilot project, it must provide a notice in writing to the

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- secretary by June 1, 2008. If the commission chooses to conduct a pilot project, the pilot project shall begin on July 1, 2008, and conclude on June 30, 2013.
  - (2) The pilot project shall include the following provisions:
  - (a) That the secretary shall employ an executive director that is:
    - (i) Hired by and serves at the pleasure of the commission;

- (ii) Exempt from the provisions of the civil service law, chapter 41.06 RCW and whose salary is established by the commission in accordance with RCW 43.03.028 and 42.17.370; and
- (iii) Responsible for performing all administrative duties of the commission, including preparing an annual budget, and any other duties as delegated to the executive director by the commission;
  - (b) Consistent with the budgeting and accounting act:
- (i) With regard to budget for the remainder of the 2007-2009 biennium, the commission has authority to spend the remaining funds allocated with respect to chiropractors licensed under this chapter; and
- (ii) Beginning with the 2009-2011 biennium, the commission is responsible for proposing its own biennial budget which the secretary must submit to the office of financial management;
- (c) That, prior to adopting credentialing fees under RCW 43.70.250, the secretary shall collaborate with the commission to determine the appropriate fees necessary to support the activities of the commission;
- (d) That, prior to the secretary exercising the secretary's authority to adopt uniform rules and guidelines, or any other actions that might impact the licensing or disciplinary authority of the commission, the secretary shall first meet with the commission to determine how those rules or guidelines, or changes to rules or guidelines, might impact the commission's ability to effectively carry out its statutory duties. If the commission, in consultation with the secretary, determines that the proposed rules or guidelines, or changes to existing rules or guidelines, will negatively impact the commission's ability to effectively carry out its statutory duties, then the individual commission shall collaborate with the secretary to develop alternative solutions to mitigate the impacts. If an alternative solution cannot be reached, the parties may resolve the dispute through a mediator as set forth in (f) of this subsection;

- (e) That the commission shall negotiate with the secretary to develop performance-based expectations, including identification of key performance measures. The performance expectations should focus on consistent, timely regulation of health care professionals; and
  - (f) That in the event there is a disagreement between the commission and the secretary, that is unable to be resolved through negotiation, a representative of both parties shall agree on the designation of a third party to mediate the dispute.
  - (3) By December 15, 2013, the secretary, the commission, and the other commissions conducting similar pilot projects under sections 29, 30, and 32 of this act, shall report to the governor and the legislature on the results of the pilot project. The report shall:
  - (a) Compare the effectiveness of licensing and disciplinary activities of each commission during the pilot project with the licensing and disciplinary activities of the commission prior to the pilot project and the disciplinary activities of other disciplining authorities during the same time period as the pilot project;
  - (b) Compare the efficiency of each commission with respect to the timeliness and personnel resources during the pilot project to the efficiency of the commission prior to the pilot project and the efficiency of other disciplining authorities during the same period as the pilot project;
  - (c) Compare the budgetary activity of each commission during the pilot project to the budgetary activity of the commission prior to the pilot project and to the budgetary activity of other disciplining authorities during the same period as the pilot project;
  - (d) Evaluate each commission's regulatory activities, including timelines, consistency of decision making, and performance levels in comparison to other disciplining authorities; and
  - (e) Review summaries of national research and data regarding regulatory effectiveness and patient safety.
- 32 (4) The secretary shall employ staff that are hired and managed by 33 the executive director provided that nothing contained in this section 34 may be construed to alter any existing collective bargaining unit or 35 the provisions of any existing collective bargaining agreement.
- NEW SECTION. Sec. 32. A new section is added to chapter 18.32 RCW to read as follows:

(1) The commission may conduct a pilot project to evaluate the effect of granting the commission additional authority over budget development, spending, and staffing. If the commission intends to conduct a pilot project, it must provide a notice in writing to the secretary by June 1, 2008. If the commission chooses to conduct a pilot project, the pilot project shall begin on July 1, 2008, and conclude on June 30, 2013.

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- (2) The pilot project shall include the following provisions:
- (a) That the secretary shall employ an executive director that is:
- (i) Hired by and serves at the pleasure of the commission;
- (ii) Exempt from the provisions of the civil service law, chapter 41.06 RCW and whose salary is established by the commission in accordance with RCW 43.03.028 and 42.17.370; and
- (iii) Responsible for performing all administrative duties of the commission, including preparing an annual budget, and any other duties as delegated to the executive director by the commission;
  - (b) Consistent with the budgeting and accounting act:
- (i) With regard to budget for the remainder of the 2007-2009 biennium, the commission has authority to spend the remaining funds allocated with respect to its professions, dentists licensed under this chapter and expanded function dental auxiliaries and dental assistants regulated under chapter 18.260 RCW; and
- (ii) Beginning with the 2009-2011 biennium, the commission is responsible for proposing its own biennial budget which the secretary must submit to the office of financial management;
- (c) That, prior to adopting credentialing fees under RCW 43.70.250, the secretary shall collaborate with the commission to determine the appropriate fees necessary to support the activities of the commission;
- (d) That, prior to the secretary exercising the secretary's authority to adopt uniform rules and guidelines, or any other actions that might impact the licensing or disciplinary authority of the commission, the secretary shall first meet with the commission to determine how those rules or guidelines, or changes to rules or guidelines, might impact the commission's ability to effectively carry out its statutory duties. If the commission, in consultation with the secretary, determines that the proposed rules or guidelines, or changes to existing rules or guidelines, will negatively impact the commission's ability to effectively carry out its statutory duties,

- then the individual commission shall collaborate with the secretary to develop alternative solutions to mitigate the impacts. If an alternative solution cannot be reached, the parties may resolve the dispute through a mediator as set forth in (f) of this subsection;
  - (e) That the commission shall negotiate with the secretary to develop performance-based expectations, including identification of key performance measures. The performance expectations should focus on consistent, timely regulation of health care professionals; and
  - (f) That in the event there is a disagreement between the commission and the secretary, that is unable to be resolved through negotiation, a representative of both parties shall agree on the designation of a third party to mediate the dispute.
  - (3) By December 15, 2013, the secretary, the commission, and the other commissions conducting similar pilot projects under sections 29 through 31 of this act, shall report to the governor and the legislature on the results of the pilot project. The report shall:
  - (a) Compare the effectiveness of licensing and disciplinary activities of each commission during the pilot project with the licensing and disciplinary activities of the commission prior to the pilot project and the disciplinary activities of other disciplining authorities during the same time period as the pilot project;
  - (b) Compare the efficiency of each commission with respect to the timeliness and personnel resources during the pilot project to the efficiency of the commission prior to the pilot project and the efficiency of other disciplining authorities during the same period as the pilot project;
  - (c) Compare the budgetary activity of each commission during the pilot project to the budgetary activity of the commission prior to the pilot project and to the budgetary activity of other disciplining authorities during the same period as the pilot project;
  - (d) Evaluate each commission's regulatory activities, including timelines, consistency of decision making, and performance levels in comparison to other disciplining authorities; and
  - (e) Review summaries of national research and data regarding regulatory effectiveness and patient safety.
- 36 (4) The secretary shall employ staff that are hired and managed by 37 the executive director provided that nothing contained in this section

- 1 may be construed to alter any existing collective bargaining unit or 2 the provisions of any existing collective bargaining agreement.
- 3 **Sec. 33.** RCW 18.71.0191 and 1994 sp.s. c 9 s 326 are each amended 4 to read as follows:
- Except as provided in section 29 of this act for the duration of 5 6 the pilot project, the secretary of the department of health shall 7 appoint, from a list of three names supplied by the commission, an executive director who shall act to carry out the provisions of this 8 9 The secretary shall also employ such additional staff including administrative assistants, investigators, and clerical staff 10 11 as are required to enable the commission to accomplish its duties and 12 responsibilities. The executive director is exempt from the provisions of the civil service law, chapter 41.06 RCW, as now or hereafter 13 14 amended.
- 15 **Sec. 34.** RCW 18.79.130 and 1994 sp.s. c 9 s 413 are each amended to read as follows:
- Except as provided in section 30 of this act for the duration of 17 the pilot project, the secretary shall appoint, after consultation with 18 19 the commission, an executive director who shall act to carry out this 20 The secretary shall also employ such professional, secretarial, clerical, and other assistants as may be necessary to 21 22 effectively administer this chapter. The secretary shall fix the 23 compensation and provide for travel expenses for the executive director and all such employees, in accordance with RCW 43.03.050 and 43.03.060. 24
- NEW SECTION. Sec. 35. Sections 33 and 34 of this act expire June 30, 2013.
- NEW SECTION. Sec. 36. Section 17 of this act expires July 1, 28 2008.
- NEW SECTION. Sec. 37. Section 18 of this act takes effect July 1, 2008.
- 31 <u>NEW SECTION.</u> **Sec. 38.** If any provision of this act or its

- 1 application to any person or circumstance is held invalid, the
- 2 remainder of the act or the application of the provision to other
- 3 persons or circumstances is not affected.
- 4 <u>NEW SECTION.</u> **Sec. 39.** The code reviser is directed to put the defined terms in RCW 18.130.020 in alphabetical order.

\*NEW SECTION. Sec. 40. Except for sections 2 and 18 of this act, which take effect July 1, 2008, and for section 12 of this act, which takes effect January 1, 2009, this act is necessary for the immediate preservation of the public peace, health, or safety, or support of the state government and its existing public institutions, and takes effect immediately.

\*Sec. 40 was vetoed. See message at end of chapter.

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Passed by the House March 8, 2008.

Passed by the Senate March 6, 2008.

Approved by the Governor March 25, 2008, with the exception of certain items that were vetoed.

Filed in Office of Secretary of State March 25, 2008.

Note: Governor's explanation of partial veto is as follows:

"I am returning, without my approval as to Section 40, Fourth Substitute House Bill 1103 entitled:

"AN ACT Relating to health professions."

This bill ensures that all health care providers in Washington State are well-qualified by strengthening the state's standards for credentialing and disciplining providers.

Section 40 is an emergency clause. Fourth Substitute House Bill 1103 increases the authority of regulators to remove health care practitioners who pose a risk to the public but does not necessitate an emergency clause. An emergency clause is to be used where it is necessary for the immediate preservation of the public peace, health or safety or whenever it is essential for the support of state government. I do not believe that an emergency clause is needed.

For this reason, I have vetoed Section 40 of Fourth Substitute House Bill 1103.

With the exception of Section 40, Fourth Substitute House Bill 1103 is approved."